

DT17 Rec'd PCT/PTO 13 NOV 2002

PTO/SB/21 (08-00)

Approved for use through 07/31/2003 GPO:0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

14

09/830,977

Application Number	09/830,977
Filing Date	July 31, 2001
First Named Inventor	Ambs, Stefan
Group Art Unit	1645
Examiner Name	Not yet assigned
Total Number of Pages in This Submission	14
Attorney Docket Number	015280-358100US

**ENCLOSURES (check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Return Postcard; Trans. Form SB/21 (1 pg.); Fee Trans. Form SB/17 (1 pg., 2 copies); Comm. under 37 C.F.R. Sec. 1.821-1.825 and Preliminary Amendment with Sequence Listing (4pgs); Seq. Listing diskette; Request for Corrected Filing Receipt (2pgs.); Copy of Official Filing Receipt (1 pg.); copy of Notification of Missing Requirements (2pgs.)
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s)	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm and Individual name	Townsend and Townsend and Crew LLP Annette S. Parent	Reg. No. 42,058
Signature		
Date	November 5, 2002	

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date:

November 5, 2002

Typed or printed name	Lawanna J. Baird
Signature	
Date	November 5, 2002

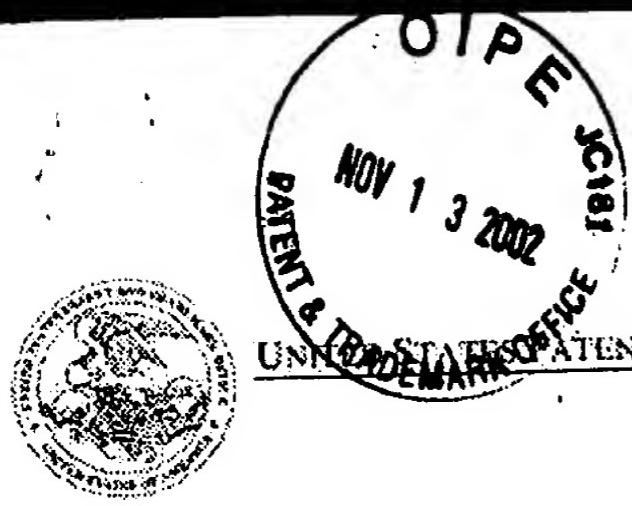
Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<h1 style="text-align: center;">FEE TRANSMITTAL</h1> <h2 style="text-align: center;">for FY 2003</h2> <p style="text-align: center;">Patent fees are subject to annual revision.</p> <p style="text-align: center;">Applicant claims small entity status. See 37 CFR 1.27</p>		<b>Complete if Known</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>09/830,977</td> </tr> <tr> <td>Filing Date</td> <td>July 31, 2001</td> </tr> <tr> <td>First Named Inventor</td> <td>Ambs, Stefan</td> </tr> <tr> <td>Examiner Name</td> <td>Not yet assigned</td> </tr> <tr> <td>Group Art Unit</td> <td>1645</td> </tr> <tr> <td>Attorney Docket No.</td> <td>015280-358100US</td> </tr> </table>		Application Number	09/830,977	Filing Date	July 31, 2001	First Named Inventor	Ambs, Stefan	Examiner Name	Not yet assigned	Group Art Unit	1645	Attorney Docket No.	015280-358100US																																																																																																																								
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<b>TOTAL AMOUNT OF PAYMENT</b> (\$ 25)		<b>METHOD OF PAYMENT (check all that apply)</b> <p> <input type="checkbox"/> Check   <input type="checkbox"/> Credit Card   <input type="checkbox"/> MoneyOrder   <input type="checkbox"/> Other   <input type="checkbox"/> None  <input checked="" type="checkbox"/> Deposit Account:          Deposit Account Number: 20-1430          Deposit Account Name: Townsend and Townsend and Crew LLP       </p> <p>The Commissioner is authorized to: (check all that apply)</p> <p> <input checked="" type="checkbox"/> Charge fee(s) indicated below   <input checked="" type="checkbox"/> Credit any overpayments  <input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application  <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.       </p>																																																																																																																																					
<b>FEE CALCULATION (continued)</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="4">3. 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<b>SUBMITTED BY</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>Name (Print/Type)</td> <td>Annette S. Parent</td> <td>Registration No. / Attorney/Agent</td> <td>42,058</td> <td>Telephone</td> <td>415-576-0200</td> </tr> <tr> <td>Signature</td> <td colspan="3"></td> <td>Date</td> <td>November 5, 2002</td> </tr> </table>				Name (Print/Type)	Annette S. Parent	Registration No. / Attorney/Agent	42,058	Telephone	415-576-0200	Signature				Date	November 5, 2002																																																																																																																								
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UNITED STATES PATENT AND TRADEMARK OFFICE

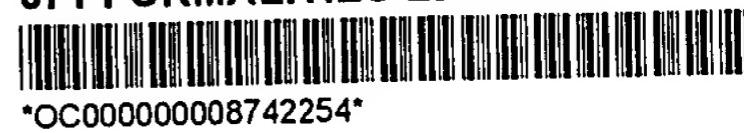
015280-358100US ASP

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/830,977	Stefan Ambs	15280-3581US
INTERNATIONAL APPLICATION NO.		
PCT/US99/27410		
I.A. FILING DATE	PRIORITY DATE	
11/17/1999	11/23/1998	

Annette S Parent  
Townsend and Townsend and Crew  
Two Embarcadero Center 8th Floor  
San Francisco, CA 94111-3834

CONFIRMATION NO. 7226  
371 FORMALITIES LETTER



\*OC000000008742254\*

*Response Due 11/6/02* *ACB*

Date Mailed: 09/06/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Claims
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Drawings
- Initial Application Filing Fees
- Oath or Declaration
- Oath or Declaration
- Original Specification
- Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
  - APPLICANT MUST PROVIDE:
    - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase PatentIn Software, call (703) 306-2600
  - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

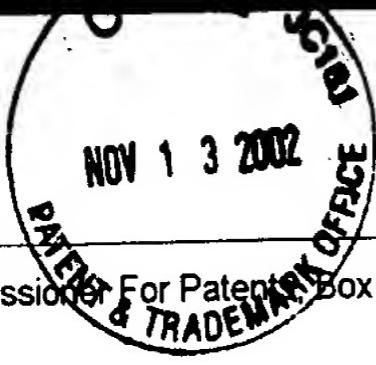
WINSTON M ALVARADO

Telephone: (703) 305-6421

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/830,977	PCT/US99/27410	15280-3581US

FORM PCT/DO/EO/905 (371 Formalities Notice)



Commissioner For Patents, Box PCT, United States Patent and Trademark Office, Washington, D.C. 20231, www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
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11/17/1999	11/23/1998	

Annette S Parent  
Townsend and Townsend and Crew  
Two Embarcadero Center 8th Floor  
San Francisco, CA 94111-3834

**CONFIRMATION NO. 7226**  
**371 WITHDRAWAL NOTICE**  
  
 \*OC000000008570849\*

Date Mailed: 09/06/2002

### WITHDRAWAL OF PREVIOUSLY SENT NOTICE

The Acceptance Notice mailed on 08/15/2001 was sent in error and is hereby withdrawn. A corrected Notice is enclosed. The time period for reply runs from the mail date of the enclosed Notice. We apologize for any inconvenience this caused.

WINSTON M ALVARADO

Telephone: (703) 305-6421

PART 1 - ATTORNEY/APPLICANT COPY



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents Washington, D.C. 20231  
on November 5, 2002

By Lawonna J. Said

Rec'd PCT/PCT 13 NOV 2002 PATENT  
Attorney Docket No.: 015280-358100US

09/830,977

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Stefan Ambs, et al

Application No.: 09/830,977

Filed: July 31, 2001

For: p53 AND VEGF REGULATE TUMOR  
GROWTH OF NOS2 EXPRESSING  
CANCER CELLS

Examiner: Unassigned

Art Unit: 1645

REQUEST FOR CORRECTED FILING  
RECEIPT

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Sir:

Attached is a copy of the official Filing Receipt received from the Patent and Trademark Office in the above-noted application for which issuance of a corrected filing receipt is respectfully requested.

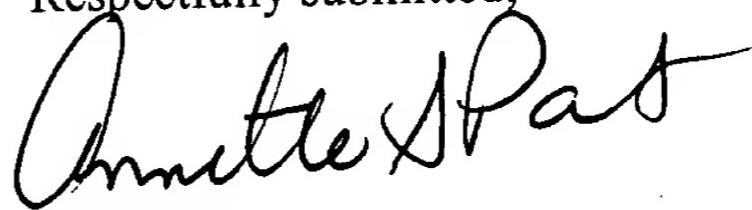
There is an error in the title which reads as follows: p53 AND REGULATE TUMOR GROWTH OF NOS 2 EXPRESSING CANCER CELLS should read as follows: p53 AND VEGF REGULATE TUMOR GROWTH OF NOS2 EXPRESSING CANCER CELLS

Attorney Docket No. 15280-358100US  
Application No.: 09/830,977  
Page 2

PATENT

The correction is due to applicant's error and therefore the fee of \$25.00, pursuant to 37 CFR §1.19(h), is to be charged to Deposit Account No. 20-1430. Please charge any additional fees or credit overpayment to the Deposit Account No. 20-1430. This Petition is submitted in triplicate.

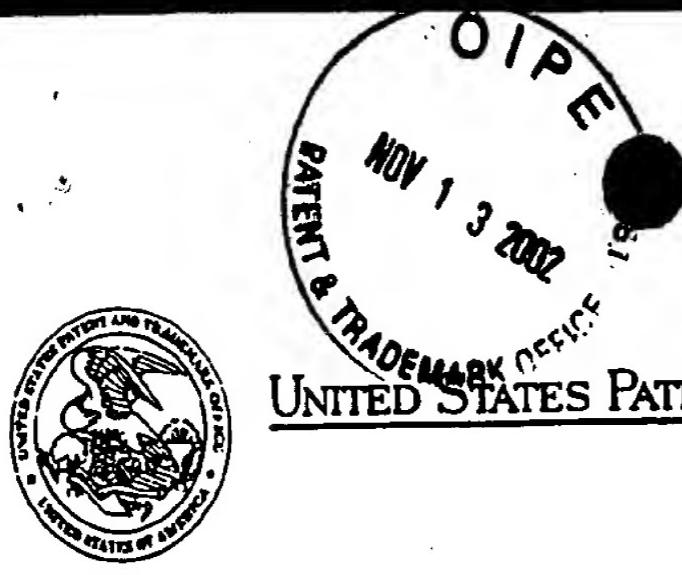
Respectfully submitted,



Annette S. Parent  
Reg. No. 42,508

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8th Floor  
San Francisco, California 94111-3834  
Tel: (415) 576-0200  
Fax: (415) 576-0300  
ASP:ljb

SF 151138 v1\SF 1403303 v1



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/830,977	07/31/2001	1645	1106	15280-3581US	7	22	4

CONFIRMATION NO. 7226

Annette S Parent  
Townsend and Townsend and Crew  
Two Embarcadero Center 8th Floor  
San Francisco, CA 94111-3834

AS Parent  
15280358000S

FILING RECEIPT



CO000000006514944\*

Date Mailed: 09/05/2001

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Title

Screening of nos2 modulators using p53 mutant cells

<sup>VEGF</sup>  
p53 AND REGULATE Tumor GROWTH of NOS2 EXPRESSING  
CANCER CELLS.